



**Underwriters
Laboratories Inc.®**

February 3, 2003

**Research Triangle
Park Division**

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IMPORTANT LETTER

To: Subscribers to UL Listing Service for Marine Flotation Aids and Special Use Devices and Flotation Aids and Special Use Devices, Near-Shore Buoyant Vests, Marine

Subject: Review Program to Determine Compliance with the Revisions to the Sixth Edition of the Standard for Marine Buoyant Devices, Dated May 15, 1995

Enumerated below are paragraphs in the Sixth Edition of the Standard for Marine Buoyant Devices, UL 1123, which have an Effective Date of September 1, 2004 and September 1, 2006.

Paragraphs:

September 1, 2004 - Section SG11

September 1, 2006 - Paragraph 23.2 and Table 23.1

A brief summary of each of the new and revised requirements that will require action on the part of the manufacturer is attached to this letter as Appendix A.

This is to notify you that continued Listing of Marine Flotation Aids and Special Use Devices; and Flotation Aids and Special Use Devices, Near-Shore Buoyant Vest, Marine and the right of the Subscriber to use the Underwriters Laboratories Inc. Mark beyond the effective date shall be contingent upon UL obtaining positive assurance that the product in current or modified form complies with the New and revised requirements, and appropriate revisions being made in the UL Follow-Up Service Procedure. If the product is found not to comply with the requirements, the Listing of the product will be terminated on the Effective Date, and the right to use the UL Mark will cease on that date.

The cost of the work to determine compliance with the requirements will be charged to the Applicant on the same basis as a new product submittal.

Initially it will be necessary to conduct a review of all UL Follow-Up Service Procedures covering such products to determine the status of products with relation to the new and revised requirements and to determine what examinations and tests may be necessary to determine compliance.

In order for the review to be conducted as economically as possible, each manufacturer is requested to furnish the following four lists to:

Ernie Kirstein in Research Triangle Park for those who submit to our Research Triangle Park, NC office, Telephone (919) 549-1691.

It is suggested that these lists be provided by April 14, 2003 or December 1, 2004 to allow sufficient time for any required examination and tests:

List A - OBSOLETE PRODUCTS - This list should contain only model or catalog numbers (or other identification) of products that are no longer being made and for which Listing is no longer desired. This list should be accompanied by your authorization for immediate termination of Listing of such obsolete products to avoid the need for UL to review products for compliance with the new requirements.

List B - EXCLUDED PRODUCTS - This list should contain only products which will not be produced after the Effective Date and for which Listing is not desired beyond the Effective Date. This list should be accompanied by your authorization for termination of Listing on these products on the Effective Date (or any earlier date) to avoid the need for UL to review these products for compliance with the new and revised requirements.

List C - PRODUCTS IN COMPLIANCE - This list should contain only Listed products which are to be continued in production after the Effective Date, which you feel now comply with the new and revised requirements, and for which you desire Listing continued beyond the Effective Date. Each such model should be accompanied by UL's Report reference data, including date, page numbers, and item numbers, to facilitate review of the file record. Upon receipt of this list, we will open an assignment and proceed with a review of the UL files for these products. If it is determined that a product fully complies with the new and revised requirements, the product will be noted for retention in the UL Report. If we are not able to confirm compliance, you will be notified of our findings and provided with application forms or cost estimates to cover the UL examination and test, as necessary, to determine compliance. The products may need to be modified before this examination.

List D - PRODUCTS NOT IN COMPLIANCE - This list should contain only those Listed products that you feel do not comply with the new and revised requirements, but which you plan to modify and submit for examination and test prior to the Effective Date. When you are ready to submit any of the products on List D, please supply us with detailed information regarding the modifications made and we will provide you with application forms or cost estimates to cover the UL examination and test, as required. To assist in controlling costs, you are urged to advise us of the modification involved and the date, page number, item number, etc., of the Report covering the products.

Upon receipt of this information we will open an assignment and proceed to conduct the review and make record revisions as appropriate.

Upon receipt of List D, we will make an estimate of the cost of the work associated with such products and provide you with appropriate application forms.

If you would like us to proceed with the file review without the aid of the information requested above, please advise us not later than June 16, 2003 or April 5, 2005. It should be understood that the time required and the costs of the review under such circumstances would be greater than it would be with your assistance. Accordingly, upon receipt of your request to proceed without the information requested, we will make an estimate of the costs involved and supply cost estimates or application forms to cover the review.

If, as a result of the file review, it is determined that examinations and tests are required for certain products, we will provide cost estimates or application forms for the investigations.

Should reexamination and retesting of some product lines be necessary to determine conformance with the new and revised requirements, we strongly recommend that you identify which samples may be used as representative of the product line at the time Lists C and D are submitted.

Also, to limit the amount of retesting required, UL will consider the use of manufacturer's test data on the representative models. However, it is necessary that UL confirm the manufacturer's data by retesting a limited number of the representative models.

BECAUSE OF THE LARGE AMOUNT OF WORK TO BE DONE BY OUR STAFF, CUTOFF DATES HAVE BEEN ESTABLISHED BY UL IN THE INTEREST OF FAIRNESS TO ALL MANUFACTURERS: JUNE 16, 2004 FOR MARINE FLOTATION AIDS AND SPECIAL USE DEVICES; AND APRIL 3, 2006 FOR FLOTATION AIDS AND SPECIAL USE DEVICES, NEAR-SHORE BOUYANT VESTS, MARINE. PRIOR TO THIS DATE, ALL APPLICATIONS FOR INVESTIGATIONS, SAMPLES, ARRANGEMENTS FOR FACTORY VISITS, AND INFORMATION MUST BE IN THE POSSESSION OF UL TO BE ABLE TO COMPLETE THE REVIEW PROGRAM BY THE EFFECTIVE DATE. To allow for the possibility of noncompliance resulting from the initial review, examination and testing, it would be prudent to make your submittal and subsequent resubmittals as early as possible. Availability of our staff and equipment will be reserved on the first-come, first-served basis, with all submittals after June 16, 2004 or April 3, 2006 placed on a lower priority. Therefore, your prompt response to this letter is imperative so that priority reservations of staff and equipment can be made.

If you wish, revised Procedure material can be transmitted to our Field Representative with instructions indicating that the new and revised construction which you agree to employ by the Effective Date need not be employed before that date. You may elect to continue to manufacture your products under the current requirements until the Effective Date.

Accordingly, it is advantageous for you to provide details of the revised constructions complying with the new and revised requirements as quickly as possible.

New product submittals made prior to the Effective Date will be judged under all of the requirements of the New and Revised Standard unless the Applicant specifically requests that the product be judged under the current requirements. However, should this option be elected, the Applicant should note that compliance with all the requirements of the New and revised Standard will be required as a condition of continued Listing and Follow-Up Service after the Effective Date and signify understanding of this in writing.

We urge you to promptly provide the information requested in Lists A, B, C and D to permit completion of this review in an orderly fashion at minimum costs to you.

If you are interested in purchasing a copy of the Standard for Marine Buoyant Devices, UL 1123, an order form for UL Standards is attached for your convenience.

Underwriters Laboratories Inc.



Ernest J. Kirstein
Staff Chemist
Conformity Assessment Services

UNDERWRITERS LABORATORIES INC
ATTN: Ernest J. Kirstein
12 Laboratory Drive
PO Box 13995
Research Triangle Park, NC 27709-3995

LIST A

Applicant Name: _____

Applicant Address: _____

Telephone No.: _____

Fax and/or Telex No. _____

File No. _____

Reference: UL Review Letter of February 3, 2003 Regarding the Standard for
Marine Buoyant Devices, UL 1123; Products to be Deleted Immediately

PLEASE TERMINATE LISTING/RECOGNITION/UNLISTED COMPONENT COVERAGE FOR THE
PRODUCTS NOTED BELOW.

VOLUME	SECTION	MODEL NO., CATALOG NO. OR EQUIVALENT
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UNDERWRITERS LABORATORIES INC
ATTN: Ernest J. Kirstein
12 Laboratory Drive
PO Box 13995
Research Triangle Park, NC 27709-3995

LIST B

Applicant Name: _____

Applicant Address: _____

Telephone No.: _____

Fax and/or Telex No. _____

File No. _____

Reference: UL Review Letter of February 3, 2003 Regarding the Standard for Marine Buoyant Devices, UL 1123; Products to be Terminated on the Effective Date of September 1, 2004 or September 1, 2006.

PLEASE TERMINATE THE LISTING/RECOGNITION/UNLISTED COMPONENT COVERAGE FOR THE PRODUCTS NOTED BELOW ON SEPTEMBER 1, 2004 OR SEPTEMBER 1, 2006.

VOLUME	SECTION	MODEL NO., CATALOG NO. OR EQUIVALENT
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UNDERWRITERS LABORATORIES INC
ATTN: Ernest J. Kirstein
12 Laboratory Drive
PO Box 13995
Research Triangle Park, NC 27709-3995

LIST C

Product Category: _____

Applicant Name: _____

Applicant Address: _____

Telephone No.: _____

Fax and/or Telex No. _____

File No. _____

Reference: UL Review Letter of February 3, 2003 Regarding the Standard for Marine Buoyant Devices, UL 1123; Products to be Maintained After the Effective Date and Thought to Comply with UL 1123.

PLEASE OPEN A PROJECT TO REVIEW THE REPORT AND TEST RECORDS FOR THE PRODUCTS NOTED BELOW.

VOLUME	SECTION	MODEL NO., CATALOG NO. OR EQUIVALENT
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_____	_____	_____
_____	_____	_____
_____	_____	_____

UNDERWRITERS LABORATORIES INC
ATTN: Ernest J. Kirstein
12 Laboratory Drive
PO Box 13995
Research Triangle Park, NC 27709-3995

LIST D

Product Category: _____

Applicant Name: _____

Applicant Address: _____

Fax and/or Telex No. _____

File No. _____

Reference: UL Review Letter of February 3, 2003 Regarding the Standard for Marine Buoyant Devices, UL 1123; Products to be Maintained After the Effective Date and Modified to Comply with UL 1123.

PLEASE REVIEW THE ATTACHED PROPOSED MODIFICATIONS AND SEND APPLICATIONS/LETTER (AS APPLICABLE) INDICATING COST LIMIT AND SAMPLES REQUIRED TO BEGIN TESTING OF MODIFIED PRODUCT.

VOLUME	SECTION	MODEL NO., CATALOG NO. OR EQUIVALENT
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A P P E N D I X A

The following is a brief summary of new and revised paragraphs in the Sixth Edition of the Standard for Marine Buoyant Devices, UL 1123 which have a future Effective Dates of September 1, 2004 and September 1, 2006 and the action that may be required to determine compliance.

September 1, 2004

Paragraphs	General Subject and Comment
Section SG11	Markings for Type V Rescuer's Harness PFD's will need to be marked with the revised Markings shown in Supplement SG

September 1, 2006

Paragraphs	General Subject and Comment
23.2 Table 23.1	PFD's sized for Persons with chest sizes over 52 inches must be evaluated for compliance with the Dynamic Strength Test using the Extra Large Adult-Sized Frame in Table 23.1. Please identify affected devices.

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